



APEX CAPITAL MARKETS LIMITED

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CIN- L65999WB1995PLC067302

Date: 10.11.2021

The Secretary
The Calcutta Stock Exchange Limited
7, Lyons Range,
Murgighata, Dalhousie,
Kolkata 700001

Scrip Code: 011122

Dear Sir(s),

Subject: Reg. 31(1)(b)- Submission of Shareholding Pattern for the Quarter ended 30th September, 2021

Further to the subject, please find enclosed herewith the shareholding pattern for the quarter ended 30th September, 2021.

Kindly take the same on your records and acknowledge the receipt.

Thanking you,

For Apex Capital Markets Limited

Kamal Kishore Lalwani

Managing Director

DIN: 00064724

Encl: As above

Holding of specified securities

- 1 Name of Listed Entity: APEX CAPITAL MARKETS LIMITED
- 2 Scrip Code/Name of Scrip/Class of Security : [BSE: 10011122]
- 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment

30-Sep-21

NA

- 4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?		NO
4 Whether the Listed Entity has any shares in locked-in?		NO
5 Whether any shares held by promoters are pledge or otherwise encumbered?		NO

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-



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Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of sharehold ers (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlyi ng Deposito Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholdi ng as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlyin g Outstandi ng convertibl e securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerializ ed form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No.	As a % of total Shares held (b)	No.	As a % of total Shares held (b)	
								Class eg: X	Class eg: Y	Total								
								(I)	(II)	(III)	(IV)			(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)	
(A)	Promoter & Promoter Group	10	1749700	0	0	1749700	58.32	1749700	0	1749700	58.32	0	58.32	0	0	0	0	1749700
(B)	Public	921	1250400	0	0	1250400	41.68	1250400	0	1250400	41.68	0	41.68	0	0	0	NA	54900
(C)	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0.00	0	0	0	NA	0
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0	0	0.00	0	0	0	NA	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0.00	0	0	0	NA	0
	Total	931	3000100	0	0	3000100	100.00	3000100	0	3000100	100.00	0	100.00	0	0	0	0	1804600



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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category of shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (IV)+(V)+(VI)+(VII)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									Class eg: X		Class eg: Y				Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Total		Total									
									(i)	(ii)		(iii)			(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)+(vii)		(viii)
1) Indian																				
(a) Individuals/Hindu undivided Family			7	706900			706900	23.56	706900	23.56								706900		
1 KAMAL KISHORE LALWANI (HUF)	0		228100				228100	7.60	228100	7.60								228100		
2 KAMAL KISHORE LALWANI	0		63000				63000	2.10	63000	2.10								63000		
3 SNEH LATA LALWANI	0		196400				196400	6.55	196400	6.55								196400		
4 SUNDEEP LALWANI	0		43800				43800	1.46	43800	1.46								43800		
5 SUNIL KUMAR LALWANI	0		153800				153800	5.13	153800	5.13								153800		
6 SUNITA LALWANI	0		15000				15000	0.50	15000	0.50								15000		
7 SANJAY KUMAR DHANCHOLIA	0		6800				6800	0.23	6800	0.23								6800		
Central Government/ State Government(s)																				
(b) Name (xyz...)																				
Financial Institutions/ Banks																				
(c) Name (xyz...)																				
(d) Body Corporates		3	1042800				1042800	34.76	1042800	34.76								1042800		
1 LALWANI CAPITAL MARKETS LTD	0		502900				502900	16.76	502900	16.76								502900		
2 LALWANI FERRO ALLOYA LTD	0		199900				199900	6.66	199900	6.66								199900		
3 LALWANI METALLICS PVT LTD	0		340000				340000	11.33	340000	11.33								340000		
Sub-Total (A)(1)		10	1749700	0	0	0	1749700	58.32	1749700	58.3214	0	0	0	0	0	0	0	1749700		
2) Foreign							0													
(a) Resident Individuals/ Foreign Individuals							0													
Name (xyz...)							0													
(b) Government							0													
Name (xyz...)							0													
(c) Institutions		0	0				0	0.00	0	0.00								0		
Name (xyz...)							0													
Foreign Portfolio Investor							0													
(d) Name (xyz...)							0													



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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Date	Category of shareholder	PAN	Nos. of shareh olders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Sharehold ing as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerial ized form
										No of Voting Rights		Total as a % of (A+B+C)	No. (a)			As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
										Class eg: X	Class eg: Y									
		(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)	(IX)				(X)	(XI)= (VII)+(X)	(XII)	(XIII)	(XIV)		
(e)	Any Other (specify)							0												
	Name (xyz...)							0												
	Sub-Total (A)(2)			0	0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0		
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)			10	1749700	0	0	1749700	58.32	1749700	0	1749700	58.3214	0	58.32	0	0	0	1749700	

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



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Table III - Statement showing shareholding pattern of the Public shareholder

[illegible]

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Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category of shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class eg. X	Class eg. Y	Total								
(d)	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(VII) = (iv)+(v)+(vi)	(VIII)	(ix)				(X)	(XI)= (VII)+(X)	(XII)	(XIII)	(XIV)		
	Overseas Depositories (holding DRs) (balancing figure)																		
	Name (xyz...)																NA		
(e)	Body Corporates (India) & Trusts		11	734200			734200	24.47	734200				24.47				NA	16900	
1	SPA TRADE & INVESTMENT PVT LTD			375000			375000	12.50	375000				12.50						
2	YAC TRADING & INVESTMENTS PVT LTD			150000			150000	5.00	150000				5.00						
3	AMBER CAPITAL MARKETS LTD			70000			70000	2.33	70000				2.33						
4	POONAM MERCANTILE (P) LTD			50000			50000	1.67	50000				1.67						
5	MURABROWN TRADING (P) LTD			45000			45000	1.50	45000				1.50						
	Name (xyz...)							0.00	0				0.00				NA		
(f)	Directors		0	0			0	0.00	0				0.00				NA	0	
	Name (xyz...)																NA		
	Sub-Total (8)(3)		921	1250400	0	0	1250400	41.68	1250400	0	1250400	0	41.68	0	0	0	NA	54900	
	Total Public Shareholding (8) = (8)(1)+(8)(2)+(8)(3)		921	1250400	0	0	1250400	41.68	1250400	0	1250400	0	41.68	0	0	0	NA	54900	
Details of the shareholders arise as persons in Consent include their Shareholding (No. and %).																			

Note: Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): Details of Shares which remain undiluted may be given here along with details such as number of shareholders, outstanding shares held in demat/undiluted suspense account, voting rights which are frozen etc.

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder																			
Category	Category of shareholder	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class eg: X	Class eg: Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)	(IX)				(X)	(XI)= (VII)+(X)	(XII)	(XIII)		(XIV)	
1)	Custodian/DR Holder															NA			
	Name of DR Holder (if available)																NA		
	(i) abc,...																NA		
	(ii) efg...																NA		
	Sub-Total (C)(1)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regula																NA		
	(a) Name (....)																NA		
	Sub-Total (C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
	Total Non-Promoter- Non Public Shareholding (C)= (C(1)+(C(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,

